Controversies in the treatment of digestive neuroendocrine tumors

Maria Rinzivillo, Francesco Panzuto, Gianfranco Delle Fave
Department of Digestive and Liver Disease, Digestive Neuroendocrine Unit, Sant’Andrea Hospital, Sapienza University of Rome, 00189 Rome, Italy.

Correspondence to: Prof. Gianfranco Delle Fave, Department of Digestive and Liver Disease, Digestive Neuroendocrine Unit, Sant’Andrea Hospital, Sapienza University of Rome, Via di Grottarossa 1035-39, 00189 Rome, Italy. E-mail: gianfranco.dellefave@uniroma1.it

ABSTRACT

Gastroenteropancreatic neuroendocrine tumors (NETs) have an incidence of 2.39 per 100,000 inhabitants per year, and a prevalence of 35 cases per 100,000 inhabitants; the gap between these rates is due to the relatively long survival time of these tumors, which can be thus considered as chronic oncological diseases. Recently, more therapeutic options have become available, but criteria for defining timing, priority and sequence of different therapeutic options are still debated. This review offers an overview of pancreatic and small bowel NETs, critically underlining the issues that still need to be clarified and some controversial issues on the therapeutic approach for NET patients.

Key words: Neuroendocrine tumors; therapeutic strategy; surgical treatment; medical therapies

INTRODUCTION

Gastroenteropancreatic neuroendocrine tumors (NETs) are a heterogeneous group of neoplasms derived from the diffuse endocrine system in the gastrointestinal tract and pancreas. The WHO classification classifies these tumors into three principal categories with different malignant behavior: NETs with Ki67 ≤ 2% (G1 NETs), NETs with Ki67 3-20% (G2 NETs) and neuroendocrine carcinomas (NECs) with Ki67 > 20% (NECs G3).

In the last few decades, the increasing incidence of these diseases has aroused much interest resulting in improvements in available therapeutic options and new clinical trials. In fact, treatment options for NETs have increased in number and this is definitely an advantage for patients. However, criteria for defining timing, priority and sequence of different therapeutic options are still debated.

The optimal therapeutic sequence should be based on the evaluation of at least three major issues:

(a) Tumor characterization:
Primary site: pancreatic and small bowel NETs should be considered different diseases in terms of both risk of tumor progression and overall survival;

Histological diagnosis: conventional immunohistochemistry evaluation and Ki67 assessment are needed to classify the disease according with WHO classification, as well as define tumor grading;

Disease staging: conventional contrast enhanced computed tomography (or magnetic resonance imaging) should be performed together with functional imaging (68 Ga-PET or Octreoscan) to stage the disease according with the ENETS staging system.

(b) Patient’s clinical status:
Performance status;
Presence of symptoms resulting from tumor-related secretion of active substances, in the case of a “functioning tumor”;
Prior treatments and comorbidity, which may reduce therapeutic options.

(c) Defining the objectives of care:
The only curative option is represented by radical surgery;

In most patients, since curative surgery is not feasible, medical treatment is needed to treat advanced...
unresectable disease;

In “functioning tumors” the symptomatic control is a major therapeutic goal;

In advanced end-stage disease, palliative symptomatic therapies are required to maintain patient’s quality of life.

In the present paper, some controversial issues on the therapeutic approach for NET patients will be discussed.

SURGICAL THERAPY

Small incidental non-functioning pancreatic NETs (pNETs): should they always be removed?

Surgical treatment of pNETs must always be planned and adapted to each individual patient considering several variables, including patient characteristics and disease stage. Some studies have recently suggested tumor diameter as the main criterion for surgery with radical intent.[5,7] For pNETs ≤ 2 cm, and in the absence of symptoms and/or suspected metastatic lesions, a conservative wait-and-see approach may be adopted in selected cases, scheduling a clinical and radiological follow-up.[4-7] Pancreatic NETs ≤ 2 cm of diameter have a risk of “malignancy” of about 6%, while 5-year disease mortality is 0%. In the small and sporadic non-functioning pNETs, the mean overall tumor growth (difference between size at last follow-up and initial size) was 0.37 (±/1.67) mm.[7] Mean growth per month was 0.010 (±/0.051) or 0.12 mm per year corresponding to a growth percentage of 1.5% (±/5.5) from the initial tumor size per year. The incidental diagnosis and the absence of symptoms seem to correlate with a better prognosis in this subgroup of patients.[2] Histological confirmation of tumor neuroendocrine origin by endoscopic ultrasonography with tissue sampling is required before planning a patient’s management. The primary tumor localization is an additional major factor to determining the surgical approach. Finally, the patient’s comorbidities and willing should always be considered in the surgical management of pNETs.

Despite recent progress, morbidity remains significant, indeed, it is necessary to carefully evaluate the type of surgery, the risks of surgery and the risks related to tumor growth in advance. Based on these considerations, conservative non-surgical management may be proposed in selected patients with small, incidental non-functioning pNETs.

Pancreatic NETs with liver metastases: should the primary tumor be resected?

The presence of metastases is the main factor associated with mortality in pNET patients. Surgical options for patients, including those with metastatic disease, include different procedures such as curative liver and pancreatic resection, primary resection, local ablative techniques, and liver transplantation. In these cases, patient selection must be meticulous and consider several prerequisites including: (a) the presence of well-differentiated lesions; (b) the absence of extra-abdominal disease; and (c) the absence of diffuse peritoneal carcinomatosis.[9]

In the literature, clinical studies suggest that there is a possible benefit in terms of survival when performing surgical removal of primitive pNETs if metastases are present.[9] However, in the retrospective studies that evaluated the role of surgery in pNETs with unresectable liver metastases, there is a selection bias for patients related to the localization of primary tumors and the type of surgical approach, the patient status in terms of comorbidity, age and performance status.[9] In the Partelli et al.[9] paper, the 5-year overall survival (OS) after surgical resection was 76% with an increase to 88% after curative resection. Although palliative surgery was associated with an improved outcome, surgical management should be reserved in highly selected patients due to the high risk of peri/postoperative complications.

Small intestinal NETs (SI-NETs) with liver metastases: should the primary tumor be resected?

Surgical treatment of SI-NETs is affected by disease clinical presentation. For SI-NETs diagnosed as stage I-III, the choice of therapy is always surgical bowel resection with lymphadenectomy.[11-13] Curative resection of the primary tumor and regional lymph node metastasis site improves long-term outcome, with a 100% 5-10 year survival for patients with stage I and II tumors and more than 80% for patients with stage III jejuno-ileal NETs.[14] In the presence of synchronous liver lesions, surgical treatment is still highly debated. A recent systematic review[15] analyzed the studies in the literature on the surgical resection of the primary tumor in patients with SI-NETs and distant metastases. Although it was not possible to conduct a meta-analysis of these works, the conclusions suggest improved survival after surgical removal of the primary tumor in patients with metastatic unresectable disease and a reduction in local complications (bleeding, perforation, and occlusion). In association with the intestinal resection, cholecystectomy should be performed in order to prevent gallstones due to long-term treatment with somatostatin analogue.[16]

MEDICAL THERAPY

Being characterized by a relatively long OS, multiple sequential therapies are adopted in digestive NETs although the best sequence for these patients is not well defined.

Somatostatin analogs (SSAs): are they indicated for all NET patients?

SSAs clearly represent the first-line treatment for patients with functioning NETs. As far as non-functioning tumors are concerned, SSAs can control tumor proliferation, as shown by two randomized clinical trials. The PROMID
everolimus after previous failure of PRRT, Kamp in a relatively small series of NET patients treated with months of the 43 cases enrolled in the placebo group. The progression-free survival (PFS) of 14.3 months vs. 6 months of the 43 cases enrolled in the placebo group. Both studies highlight the increased anti-proliferative activity of these drugs in patients with low Ki67 (G1 NETs or G2 NETs with Ki67 < 10%), stable slow-growing disease, and high somatostatin receptor expression as assessed by functional imaging. Alternative medical treatments should be considered if these criteria are not satisfied.

**Peptide receptors radionuclide therapy (PRRT): is there a place as a first-line approach?**

PRRT acts with the same molecular mechanism as SSAs, but the somatostatin analog is radiolabeled with Y90 or Lu177, performing an “in loco” radiotherapy. This well-tolerated treatment is able to inhibit tumor growth in up to 50-70% of digestive NETs.[19-21]

Results from the first Phase III, multicenter randomized clinical trial (RCT) comparing Lutathera® vs. Octreotide in patients with inoperable, progressive, somatostatin receptor-positive G1-G2 small intestinal NETs (NETTER-1 trial) have been recently presented at the last ECC (Vienna, September 2015) (www.clinicaltrials.gov NCT01578239).[21] They showed that, in 230 patients enrolled, the median PFS was not reached in the PRRT-treated group vs. 8.4 months obtained by SSA [hazard ratio (HR): 0.21, P < 0.0001]. This data supports the benefit of this therapy in metastatic small intestinal NETs, and hopefully will help achieve official registration of this drug.[21]

All international guidelines (ENETS, NANETS, ESMO, and NCCN) consider PRRT as a valid option in patients with advanced NETs; however, there are no solid data supporting where PRRT should be placed in the therapeutic sequence. A recent multicenter Italian study on the compassionate use of everolimus in advanced NETs highlighted the increasing risk of severe toxicity in patients who had been previously treated with PRRT or chemotherapy, thus suggesting the early use of everolimus in patients with advanced NETs.[22] Furthermore, Bajetta et al.[23] treated patients with everolimus in combination with octreotide LAR as first line approach in advanced NETs and showed that in this setting, this combination treatment is very effective with disease control being reached in 92% of patients. This therapy also has an excellent safety profile, with only one single grade 4 adverse event in the population of 50 patients enrolled.[23] Conversely in a relatively small series of NET patients treated with everolimus after previous failure of PRRT, Kamp et al.[24] reported an overall safety profile similar to that presented in the randomized clinical trials. However in this trial, severe kidney toxicity was observed in 4.2% of patients, a toxicity not reported in the regulatory trials, where no patients pre-treated with PRRT had been enrolled. To date, no conclusive data on the optimal therapeutic sequence involving PRRT is available and caution should be used when considering everolimus therapy in patients who have previously received PRRT.

**Targeted therapies: everolimus or sunitinib first?**

Another relevant option for digestive NETs is targeted therapy. Recent trials have demonstrated the activity of the mTOR inhibitor everolimus (RAD001, Afinitor®, Novartis Oncology) against tumor growth. In the RADIANT-3 trial,[25] a phase III placebo-controlled study enrolling advanced pNETs, everolimus provided a significant prolongation in median PFS vs. placebo (11 and 4.6 months; 207 and 203 patients, respectively). The results of this trial led to approval by the U.S. Food and Drug Administration (FDA) and the European Medicines Agency (EMA) for the treatment of locally advanced, metastatic or unresectable pNETs.[24] Its activity has also been reported in progressive, well-differentiated, non-functioning lung and non-pancreatic digestive NETs, based on the findings of the RADIANT-4 RCT.[26] This study showed a significant benefit with everolimus in these patients, with median PFS being 11 months in the treatment arm (n = 205) vs. 3.9 months in the placebo group (n = 97) (HR: 0.64, P = 0.037).[26] The most common adverse events reported in the phase III RCTs (Radiant 3-4) (> 30%) were stomatitis (62%, 64%), rash (37%, 49%), fatigue (31%, 31%) and diarrhea (27%, 34%), while grade 3/4 treatment-related adverse events were stomatitis (7%, 7%), anemia (1%, 6%), and hyperglycemia (5%, 5%). Overall, grade 3-4 toxicity was reported in approximately 5-8% of patients. This data suggests caution when using everolimus in patients with diabetes, in whom an optimal glucose control is mandatory before beginning the treatment.

Sunitinib (Sutent®, Pfizer) is another targeted therapy, effective for the treatment of advanced pNETs. It is an antiangiogenic, pan-receptor tyrosine kinase inhibitor, acting against multiple targets including VEGFR, PDGFR, c-KIT, Flt-3 and RET. In the phase III RCT published in 2011, it prolonged PFS to 10.2 months vs. 5.4 with placebo.[27] The most common adverse events reported in the sunitinib trial were diarrhea (59%), nausea, fatigue, vomiting (35%) and fatigue (32%), while the most frequent grade 3/4 treatment-related included neutropenia (12%), hypertension (10%), and palmar-plantar erythrodysesthesia (6%). Notably, because patients with severe cardiac comorbidities had not been enrolled in this study, caution should be exercised when using sunitinib in patients with a significant cardiac history (e.g., arrhythmia, coronary artery disease, cardiomyopathy, uncontrolled hypertension). Grade 3-4 toxicity was present in up to 12% of patients.
The choice of which targeted agent should be used first still remains a challenge for physicians dealing with advanced pNETs. No comparative study of everolimus versus sunitinib in this setting is available yet. Thus, since phase III trials have demonstrated a similar efficacy in terms of PFS, the choice is mainly based on the evaluation of other elements, including the toxicity profile, patients’ comorbidity, and physician’s expertise with these drugs. An additional point of interest that should be considered, besides the physician’s personal clinical experience when managing these drugs, is the larger population of NET patients treated with everolimus in comparison with sunitinib reported in the literature. In fact, more than 600 advanced NET patients have been treated in the RADIANT trials,[25,26,28] in comparison with the 86 patients included in the sunitinib trial.[27]

G3 NECs: is platinum-based chemotherapy always required?

According with the WHO 2010 classification,[31] the group of G3 NECs were identified with a proliferation index (Ki67) > 20% (or > 20 mitotic count per 10 HPF). International guidelines[29] suggest the use of platinum-based systemic chemotherapy in G3 NEC patients due to the rapidly metastatic behavior of these tumors, and the extremely poor prognosis in comparison with other NETs with lower proliferative activity (G1 and G2). However, this category constitutes a heterogeneous group of diseases, including both well-differentiated and poorly differentiated tumors based on morphological features, with different implications in terms of patients’ prognosis and therapeutic approach.[30,31] Overall, median PFS reported with platinum-based first-line approach ranges from 4 to 9 months.[31] However, this data mostly derives from non-randomized trials, with small series of patients evaluated by a retrospective design approach, and usually enrolling a heterogeneous series of patients in terms of therapeutic schedules and biological features of the tumor (primary site, staging, Ki67 index).

Data reported by the Nordic group study[31] proposes to consider G3 NECs with Ki67 < 55%, as a different entity that exhibits less aggressive behavior and responds well to platinum-based chemotherapy, in comparison with other G3 NECs. This specific subgroup of patients might be considered as a separate disease in which therapeutic approaches other than platinum-based should be tested. Indeed, the role of everolimus in G3 NECs is under investigation in phase II trials in several different clinical settings (MAVERIC: Eudract: 2014-003951-72, www.clinicaltrials.gov, NCT0211380, www.clinicaltrials.gov NCT02248012).

Further prospective studies are required before considering therapeutic options based on targeted agents as the standard treatments in G3 NECs.

Locoregional therapies: is there an impact on patients’ survival?

In some cases (especially with a functional syndrome) when a complete resection is not possible, debulking surgery can be performed to improve prognosis and quality of life. This approach can be based on the combination of surgery on primary and secondary tumors and loco-regional treatments (i.e., trans-arterial liver embolization, TAE; trans-arterial chemoembolization, TACE; radiofrequency ablation). Embolization is contraindicated in patients with portal vein thrombosis, liver insufficiency, biliary obstruction or prior Whipple procedure. The presence of portal vein occlusion or ascites hepatic tumor burden > 75% of the total liver are considered relative contraindications.[32] In a retrospective study in patients with pNETs, chemoembolization showed better results when compared with bland embolization (response: 50% vs. 25%, respectively).[33] However, no clear difference between TAE and TACE in terms of clinical outcome has been reported so far.

Another experimental approach to metastatic disease is selective internal radiation therapy (SIRT), based on the intra-arterial deliver of Yttrium-90 microspheres to the lesions. Although results seem appealing, they are from retrospective series, and a recent study comparing this technique to TAE and TACE over a 10-year period did not show any advantages of SIRT in terms of time to disease progression.[34]

The wide range in response rates and survival duration in various studies in terms of patient population and tumor profile, the extent of liver involvement, and the presence of extra-hepatic metastases is reflection of the heterogeneous tumor biology of this disease. Gupta et al.[35] found that patients treated with liver embolization with carcinoid tumors had a higher response rate (66.7% vs. 35%; P < 0.0001), longer time to progression (TTP) (22.7 months vs. 16.1 months, P < 0.046), and better OS (33.8 months vs. 23.2 months; P < 0.012) compared to patients with pNETs. Roche et al.[36] found non-pancreatic NETs (P < 0.006), absence of extra-hepatic lesions (P < 0.03), unresected primary (P < 0.012) and TACE as first-line (P < 0.028) were significant for complete response to liver embolization, and less hepatic involvement (< 30%) significantly improved morphological response (P < 0.016). There is no conclusive evidence in the literature that the loco-regional therapies improve survival rate.

CONCLUSION

Despite recent advances in the knowledge of digestive NETs, there are still many controversial aspects about the management of these patients. There is a dire need for further multicenter studies designed to clarify gray areas such as the sequence of medical therapies in patients with advanced disease, the opportunity for a conservative
follow-up in small incidental tumors of the pancreas, the optimal approach to NEC G3 tumors with well differentiated morphology, liver ablative therapies, and surgery in the context of metastatic disease.

Financial support and sponsorship
Nil.

Conflicts of interest
There are no conflicts of interest.

REFERENCES


